

Research

Why Can't We Be More Idiographic in Our Research?

David H. Barlow¹ and Matthew K. Nock²

¹Center for Anxiety and Related Disorders, Boston University, and ²Harvard University

ABSTRACT—*Most psychological scientists make inferences about the relations among variables of interest by comparing aggregated data from groups of individuals. Although this method is unarguably a useful one that will continue to yield scientific advances, important limitations exist regarding the efficiency and flexibility of such designs, as well as with the generality of obtained results. Idiographic research strategies, which focus on the intensive study of individual organisms over time, offer a proficient and flexible alternative to group comparison designs; however, they are rarely taught in graduate training programs and are seldom used by psychological scientists. We highlight some of the unique strengths of idiographic methods, such as single case experimental designs, and suggest that psychological science will progress most efficiently with an increased use of such methods in both laboratory and clinical settings.*

Edward Tolman said to Gordon Allport “I know I should be more idiographic in my research, but I just don’t know how to be,” to which Allport replied, “Let’s learn!” (Allport, 1962, p. 414). This sentiment was based on the fact that, whether it’s a laboratory rat or a patient in the clinic with a psychological disorder, it is the individual organism that is the principle unit of analysis in the science of psychology. The intensive study of the individual is associated with a hallowed tradition in scientific psychology. Indeed, the founders of experimental psychology including Fechner, Wundt, Ebbinghaus, and Pavlov studied individual organisms with scientific approaches that would be considered internally valid, and they strengthened these findings (and began to establish generality) through replication in other organisms (see Barlow, Nock, & Hersen, 2008).

This scientific strategy, which is fully capable of establishing causal relations among variables, came to be known as the *idiographic* approach. Gordon Allport, in his area of social psychology, argued eloquently that the science of psychology should attend to the uniqueness of the individual organism (Allport, 1962). Routed deep in the structural school of psychology, this approach also was popular in more applied branches in psychology in the middle of the last century. Perhaps the biggest champion of an idiographic approach in clinical settings was Shapiro, who was advocating a scientific approach to the study of individuals with psychopathology as early as 1951 (e.g., Shapiro 1961, 1966). The idiographic approach perhaps reached its zenith in psychological science with the work of B.F. Skinner. In a famous quote, Skinner (1966) noted: “. . . instead of studying a thousand rats for one hour each or a hundred rats for ten hours each the investigator is more likely to study one rat for a thousand hours” (p. 21). Thus, Skinner and his colleagues in the animal laboratories are largely credited with developing and refining an experimental idiographic approach that came to be known as the experimental analysis of behavior.

This idiographic approach represents a true scientific undertaking, as independent variables are manipulated in the context of carefully measured and repeatedly assessed dependent variables. This is in contrast to the alternative nomothetic experimental strategy, in which the researcher looks to assemble relatively large groups of individual organisms and, in the most straightforward application, examines the average response of the group to the introduction of some manipulation compared with the response to well-construed control conditions. The major differences between the idiographic and nomothetic traditions are, of course, approaches to intersubject variability and the generality of findings. As variability is often considerable among organisms, the task of any psychological scientist is to discover functional relations among independent variables over and above the welter of environmental and biological variables influencing the organism at any given point in time. A nomothetic approach makes an implicit assumption that much of

Address correspondence to David H. Barlow, Center for Anxiety and Related Disorders, Boston University, 648 Beacon Street, 6th Floor, Boston, MA 02215; e-mail: dhbarlow@bu.edu.

this variability is intrinsic to the organism and uses sophisticated data analytic procedures to look for reliable effects over and above this “error.” Significant effects are then assumed to be more or less generalizable based on the number of individuals included in the experimental group and the representativeness of the population of such individuals (i.e., the use of random sampling).

Of course, random sampling is seldom achieved in psychological research where, indeed, the goal is more often to strive for homogeneous samples in which the generality of findings can be very limited. Sidman (1960) made the following point a number of years ago when discussing approaches to variability:

The rationale for statistical immobilization of unwanted variables is based on the assumed random nature of such variables. In a large group of subjects, the reasoning goes, the uncontrolled factor will change the behavior of some subjects in one direction and will affect the remaining subjects in the opposite way. When the data are averaged over all the subjects, the effects of the uncontrolled variables are presumed to add algebraically to zero. The composite data are then regarded as though they were representative of one ideal subject who had never been exposed to the uncontrolled variables at all. (p. 162)

Addressing the issue of the generality of findings, Sidman wrote the following:

Tracking down sources of variability is then a primary technique for establishing generality. Generality and variability are basically antithetical concepts. If there are major undiscovered sources of variability in a given set of data, any attempt to achieve subject or principle generality is likely to fail. Every time we discover and achieve control of a factor that contributes to variability, we increase the likelihood that our data will be reproducible with new subjects and in different situations. Experience has taught us that precision of control leads to more extensive generalization of data. (p. 152)

Although the use of the idiographic approach led to significant advances in the earliest days of laboratory-based experimental psychology, as well as during early translations of findings from psychological science to clinical applications in the middle of the last century, it is clear that the nomothetic strategy has become a dominant method to establish both internal and external validity over the past few decades (Kazdin, 2003; Nock, Janis, & Wedig, 2008). One reason for this development in applied settings was the beginning of funding of large randomized clinical trials (RCTs) by the National Institutes of Health.

Many such studies require 10 or more years and many millions of dollars to perform one treatment trial. For instance, the National Institutes of Mental Health (NIMH) funded the treatment of depression collaborative research program (Elkin et al., 1989). This study, which took 13 years to finish (1977–1990), was reminiscent of earlier efforts such as the

Cambridge Somerville Youth Study conducted from 1935 through 1951, which divided delinquent boys into two groups—one treatment group and one group that received “treatment as usual” (McCord, 1978). The fact that there were no effects at 5, 10, 20, or 30 years did much to discourage efforts of this type for at least the next 30 years. In fact, results from the NIMH depression collaborative trial were not particularly revealing either, as no significant differences existed among treatment and comparison groups at any point in time. Nevertheless, this trial provoked useful comment and a great deal of controversy about strategic issues and the potential for improvement in the methodology of RCTs. These trials have improved to the point where they have become “the gold standard” for establishing causal relations between independent and dependant variables more generally, and data emanating from these trials have deep influences on health care practices (Barlow, 2004).

But is something still lacking? Scientifically, relying on a relatively small group of researchers requiring enormous amounts of time and resources to perform a single treatment trial can be seen as an inefficient method of advancing knowledge. In applied clinical settings, clinicians often question the applicability of findings from RCTs to individuals seen in typical clinical settings. In other words, there is a strong perception that problems exist in generalizing a nomothetic result to an idiographic situation. The variety of forms that these arguments take are often cast as specific objections to RCT methodology, and these arguments have been detailed numerous times in the past decade (e.g., Persons & Silberschatz, 1998; Westen, Novotny, & Thompson-Brenner, 2004).

Rather than simply critiquing nomothetic methodologies, can we enrich these methodologies with a complementary focus on the individual? The fact is that we have a good idea of how to be more idiographic in our research. Although most psychological researchers have been trained in group comparisons designs and have relied primarily on them, exciting advances have been made in the use of idiographic methodologies, such as the single-case experimental design (see Barlow et al., 2008). The flexibility and efficiency of these designs make them ideally suited for use by psychological scientists, clinicians, and students alike, given that they require relatively little time and few resources and subjects and yet they can provide strong evidence of causal relations between variables.

The time now seems right to put more emphasis on idiographic strategies that can be integrated in a healthy way into existing nomothetic research approaches in both clinical and basic science settings. In clinical science, having established the effectiveness of a particular independent variable (e.g., an intervention for a specific form of psychopathology), one could then carry on with more idiographic efforts tracking down sources of intersubject variability and isolating factors responsible for this variability (Kazdin & Nock, 2003; Nock, 2007). Necessary alterations in the intervention protocols to effectively

address variability could then be tested, once again idiographically, and incorporated into these treatments. Researchers in basic science laboratories could undertake similar strategies and avoid tolerating large error terms. Thus, all of psychological science, both basic and applied, would benefit.

REFERENCES

- Allport, G.D. (1962). The general and the unique in psychological science. *Journal of Personality*, *30*, 405–422.
- Barlow, D.H. (2004). Psychological treatments. *American Psychologist*, *59*, 869–878.
- Barlow, D.H., Nock, M.K., & Hersen, M. (2008). *Single case experimental designs: Strategies for studying behavior change* (3rd ed.). Boston: Allyn & Bacon.
- Elkin, I., Shea, M.T., Watkins, J.T., Imber, S.D., Sotsky, S.M., Collins, J.F., et al. (1989). National Institute of Mental Health Treatment of Depression Collaborative Research Program: General effectiveness of treatments. *Archives of General Psychiatry*, *46*, 971–982, 983.
- Kazdin, A.E. (2003). *Research design in clinical psychology* (4th ed.). Boston: Allyn & Bacon.
- Kazdin, A.E., & Nock, M.K. (2003). Delineating mechanisms of change in child and adolescent therapy: Methodological issues and research recommendations. *Journal of Child Psychology and Psychiatry*, *44*, 1116–1129.
- McCord, J. (1978). A thirty-year follow-up treatment effects. *American Psychologist*, *33*, 284–289.
- Nock, M.K. (2007). Conceptual and design essentials for evaluating mechanisms of change. *Alcoholism: Clinical and Experimental Research*, *31*, 4S–12S.
- Nock, M.K., Janis, I.B., & Wedig, M.M. (2008). Research design. In A.M. Nezu & M. Nezu (Eds.), *Evidence-based outcome research: A practical guide to conducting randomized controlled trials for psychosocial interventions* (pp. 201–218). New York: Oxford University Press.
- Persons, J.B., & Silberschatz, G. (1998). Are results of randomized controlled trials useful to psychotherapists? *Journal of Consulting and Clinical Psychology*, *66*, 126–135.
- Shapiro, M.B. (1961). The single case in fundamental clinical psychological research. *British Journal of Medical Psychology*, *34*, 255–263.
- Shapiro, M.B. (1966). The single case in clinical psychological research. *Journal of General Psychology*, *74*, 3–23.
- Sidman, M. (1960). *Tactics of scientific research: Evaluating experimental data in psychology*. New York: Basic Books.
- Skinner, B.F. (1966). Operant behavior. In W.K. Honig (Ed.), *Operant behavior: Areas of research and application* (pp. 12–32). New York: Appleton-Century-Crofts.
- Westen, D., Novotny, C.M., & Thompson-Brenner, H. (2004). The empirical status of empirically supported psychotherapies: Assumptions, findings, and reporting in controlled clinical trials. *Psychological Bulletin*, *130*, 631–663.